



U.S. Department
of Transportation
**Federal Aviation
Administration**

Memorandum

Subject: **ACTION:** AIR-200 Policy Memorandum 99-10,
Clarification to Order 8130.21B

Date: September 10, 1999

From: Manager, Production and Airworthiness
Certification Division, AIR-200

**Reply to
Attn. of:**

To: All Aircraft Certification Directorates
All Manufacturing Inspection Offices, District/Satellite
Offices, Certificate Management Offices/Units
All Flight Standards Regional and District Offices

PURPOSE. This Policy Memorandum (PM) modifies Federal Aviation Administration (FAA) Order 8130.21B, Procedures for Completion and Use of FAA Form 8130-3, Airworthiness Approval Tag. This memorandum also incorporates the guidance provided in AIR-200 PM #98-07, Clarification to Order 8130.21B. Order 8130.21B is currently under revision, accordingly, all changes identified in this policy memorandum will be incorporated in the next revision of this order.

CANCELLATION. AIR-200 PM #98-07, Clarification to Order 8130.21B, dated June 22, 1998, is cancelled.

BACKGROUND. The changes outlined in this PM are based on the following issues.

Parts brokers or distributors are requesting designees to issue Form 8130-3 from facilities that are not associated with a FAA Production Approval Holder (PAH) as an approved supplier.

AIR-200 has received requests for additional information, pertaining to the use of the Form 8130-3 for the splitting of bulk shipments of previously shipped parts.

Incorporation of responses provided in PM #98-07.

CHANGES.

1. Order 8130.21B paragraph 6b(1) is rewritten as follows:

"(1) Export approvals for new and newly overhauled Class II products may be issued in accordance with (IAW) section 21.331. Export approvals for new Class III products may be issued IAW section 21.333. An export approval for a newly overhauled Class III product may NOT be issued unless the country of import has provided written confirmation that they will accept a newly overhauled product as provided under sections 21.333(b), 21.325(c), and 21.327(e)(4)."

2. Order 8130.21B paragraph 8. Insert the following text under the heading of paragraph 8 as illustrated below.

"8. DOMESTIC USE OF FAA FORM 8130-3.

a. Form 8130-3 may be used to identify airworthiness approval status (i.e., new products, parts, and appliances) and for the splitting of bulk shipments. These uses are limited to FAA PAHs, PAH approved suppliers, and PAH associate facilities, as defined in FAA Order 8120.2, Production Approval and Surveillance Procedures. Parts brokers or distributors who are NOT associated with a PAH, as a PAH approved supplier or an associate facility (e.g., PAH approved inventory/distribution facility or a PC extension facility), are not eligible. These brokers/distributors may not issue, or have issued on their behalf, a Form 8130-3 for identification of airworthiness approval status or the splitting of bulk shipments of previously shipped parts."

3. Order 8130.21B delete the "NOTE" currently located under paragraph 8c(1)(d).

4. Order 8130.21B paragraph 8c(3)(a) is rewritten as follows:

"(a) The parts must be manufactured by a PAH (or a PAH's approved supplier) and located in the United States when the original Form 8130-3 is issued. The splitting of bulk shipments of previously shipped parts results in the issuance of a supplemental Form 8130-3. When used to export Class II or III products, from a PAH's international distribution facility to a final destination, the original Form 8130-3 will have been issued IAW paragraph 6 of this order. When used for distribution of parts from a PAH's domestic distribution facility (domestic shipments), the original Form 8130-3 will have been issued IAW paragraph 8 of this order."

5. Order 8130.21B paragraph 8d, is rewritten as follows:

"d. RTS after Maintenance, Preventive Maintenance, Rebuilding and Alterations – Used Products/Parts.

(1) Persons authorized by section 43.7 may approve for RTS aircraft products/parts which have undergone maintenance, preventive maintenance, rebuilding, or alterations provided the applicable record keeping requirements of sections 43.9, 121.380(c), 135.439(c), or 14 CFR section 91.417 are met. The use of Form 8130-3 for this purpose is OPTIONAL and is limited as follows:

(a) All work must be performed by part 121 or 135 certificate holders having a continuous airworthiness maintenance program. OR

(b) An air agency certificated under part 145, this applies to all FAA certificated repair stations, both domestic and foreign. OR

(c) This form may also be used by a manufacturer for approval for RTS as set forth in section 43.7(d).

(2) In all cases, the authorization for RTS of products/parts must be made by an appropriately authorized representative of the air carrier, air agency, or manufacturer in accordance with part 43. In addition, the maintenance records required by section 43.9 may be required to accompany the Form 8130-3."

6. Order 8130.21B paragraph 8e(1), is rewritten as follows:

"(1) New unused products/parts may be inspected and approved for RTS by persons authorized under paragraph 8b(4) above. Form 8130-3 can be used for this purpose, provided the applicable record keeping requirements of sections 43.9, 91.417, 121.380(c), and 135.439(c) are met and the Quality Control (QC) system includes the following:"

7. Sub-paragraph designations, (i.e., 8a through 8f) are reassigned accordingly.

8. Order 8130.21B paragraph 9g is rewritten as follows:

"g. Form 8130-3 will be completed as detailed in paragraph 10, Line-By-Line Instructions for Completion of Form 8130-3. All entries must be made in permanent ink and be in the English language. A sample of a completed Form 8130-3 (Appendix 2) is attached."

9. Order 8130.21B paragraph 10l is rewritten as follows:

l. Block 12. Status/work. Enter "NEW" for newly manufactured parts and products (domestic application for engines and propellers). Enter "OVERHAULED" for those products that have not been operated or placed in

service since overhaul. Enter "PROTOTYPE" for products/parts submitted to support type certification programs. Other permissible/appropriate terms are referenced in a specific CFR (i.e., parts 21 and 43) to describe the status of the product/part such as, "INSPECTED", "REPAIRED", "REBUILT", "ALTERED", "MODIFIED", or "OVERHAULED".

NOTE: The terms "NEW SURPLUS" and "OHV" are not to be included since they are not specific terms referenced in the CFR. The term "MODIFIED" may only be used when defined, in the user's continuous airworthiness program, as synonymous with the term "ALTERED".

10. The following text is added to Order 8130.21B paragraph 10m.

"(15) When used for domestic distribution of parts (i.e., Splitting of Bulk Shipments) from a PAH's domestic inventory/distribution facility, the words "SUPPLEMENT-DOMESTIC SHIPMENT" must be entered in capital letters."

11. Order 8130.21B paragraph 10u is rewritten as follows:

"u. Block 21. Certificate number. Enter the air agency or air carrier certificate number. For manufacturers, authorized in section 43.7(d) to approve products/parts for return to service, enter the production certificate number. In those cases where the authorized manufacturer does not have a PC, "N/A" should be entered."

12. Order 8130.21B Appendix 2, Sample Form 8130.3.

Block #12. Remove "N/A" and insert "NEW" in this block.

This memorandum has been coordinated with the Aircraft Maintenance Division, AFS-300. All FAA offices should forward this information to all FAA designees. If there are any questions, please contact a member of the Production and Airworthiness Certification Division, AIR-200, at (202) 267-8361.

/S/

Original signed by Frank Paskiewicz